

11/20/01

J1131 PTO	<p align="center"><b>UTILITY PATENT APPLICATION TRANSMITTAL</b></p> <p><i>Only for new nonprovisional applications under 37 CFR 1.53(b)</i></p>		
	<p>Attorney Docket No. <b>216202US3</b></p> <p>First Inventor or Application Identifier <b>Kenya W. et al.</b></p> <p>Title <b>METHOD AND APPARATUS FOR TREATING SURFACE OF SUBSTRATE PLATE</b></p>		
	<p>Assignee Name: <b>Hitachi Electronics Engineering Co., Ltd.</b></p> <p>Assignee Address: <b>16-3, Higashi 3-chome, Shibuya-ku, Tokyo, Japan</b></p>		

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1.  Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Specification  
Total Sheets **27**
3.  Formal Drawing(s)  
(35 U.S.C. 113)  
Total Sheets **9**
4.  Oath or Declaration
  - a.  Newly executed (original)
  - b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
*(for continuation / divisional w/ box 17 completed)*
    - i.  DELETION OF INVENTOR(S)  
*Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. § 1.63(d)(2) and 1.33(b).*
5.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
6.  Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
  - a.  Computer Readable Form (CRF)
  - b. Specification or Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  Paper
  - c.  Statements verifying identity of above copies
17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:  
 Continuation       Divisional       Continuation-in-part (CIP)      of prior application no.:  
*Prior application information: Examiner:*

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:
 

<input type="checkbox"/> This application is a	<input type="checkbox"/> Continuation	<input type="checkbox"/> Division	<input type="checkbox"/> Continuation-in-part (CIP)
of application Serial No.		Filed on	
<input type="checkbox"/> Which was published in English			
<input type="checkbox"/> Which was not published in English			
<input type="checkbox"/> This application claims priority of provisional application Serial No. _____ Filed _____			

**19. CORRESPONDENCE ADDRESS**



**22850**

(703) 413-3000

FACSIMILE: (703) 413-2220

Name: <b>C. Irvin McClelland</b>	Registration No.: <b>21,124</b>
Signature:	Date: <b>11/20/01</b>
Name: <b></b>	Registration No.: <b></b>

Docket No. 216202US3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Kenya WADA, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: METHOD AND APPARATUS FOR TREATING SURFACE OF SUBSTRATE PLATE

**FEES TRANSMITTAL**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	- 20 =	0	× \$18 =	\$0.00
INDEPENDENT CLAIMS	- 3 =	0	× \$84 =	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$280 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
			BASIC FEE	\$740.00
			TOTAL OF ABOVE CALCULATIONS	\$740.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
			TOTAL	\$740.00

Please charge Deposit Account No. 15-0030 in the amount of

A duplicate copy of this sheet is enclosed.

A check in the amount of \$740.00 to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.  
A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



C. Irvin McClelland  
Registration No. 21,124

Date: 11/20/01



**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 10/00)